



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/662,006
		Filing Date	September 14, 2000
		First Named Inventor	Sullivan, Patrick K.
		Art Unit	3736
		Examiner Name	Patricia C. Mallari
Total Number of Pages in This Submission		Attorney Docket Number	HOANA-65047

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<b>Postcard; Check; Seven (7) References</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

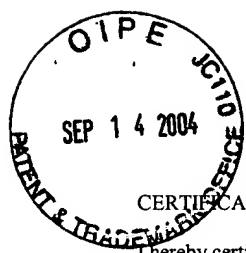
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP CRAIG B. BAILEY, 28,786
Signature	
Date	September 10, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Craig B. Bailey
Signature	
Date	September 10, 2004

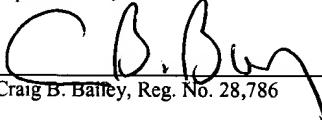
This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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\_\_\_\_\_  
Craig B. Bailey, Reg. No. 28,786

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/662,006  
Applicant : Patrick K. Sullivan et al.  
Filed : September 14, 2000  
Art Unit : 3736  
Examiner : MALLARI, P.  
  
Docket No.: : HOANA-65047  
Customer No. : 24201

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIXTH SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. §1.56 and in accordance with the procedure set forth in 37 C.F.R. §§ 1.97 and 1.98, the Applicant hereby submits for the Examiner's consideration the references listed on the attached PTO Form PTO/SB/08a. A copy of each of the references also is enclosed.

The undersigned hereby certifies that none of the references in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge, after making reasonable inquiry, no reference contained in this Information Disclosure Statement was

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known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

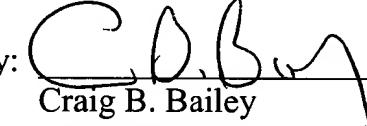
As provided for by 37 C.F.R. §§ 1.97(g) and (h), no inference should be made that any of the references cited herein constitute prior art merely because they are included in this statement.

The Applicant respectfully requests that the cited references be expressly considered by the Examiner during the prosecution of this application, and that the references be made of record in these proceedings and be included in the list of references cited in the application file and printed patent.

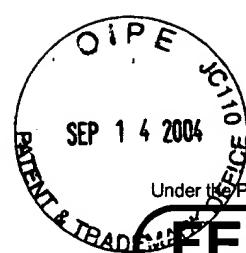
This Information Disclosure Statement is being filed after the mailing date of a final Office Action, but prior to the payment of an issue fee, pursuant to 37 C.F.R. § 1.97(d). A check for the fee set forth in 37 C.F.R. § 1.17(p) is enclosed. Please deduct any additional fees from, or credit any overpayment to, our Deposit Account No. 06-2425. A duplicate copy of this paper is enclosed

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:   
\_\_\_\_\_  
Craig B. Bailey  
Registration No. 28,786

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Customer No. 24201



SEP 14 2004

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

\$180.00

## Complete if Known

Application Number	09/662,006
Filing Date	September 14, 2000
First Named Inventor	Sullivan, Patrick K.
Examiner Name	Patricia C. Mallari
Art Unit	3736
Attorney Docket No.	HOANA-65047

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number	06-2425
Deposit Account Name	FULWIDER PATTON

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non - English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
Total Claims	-20** = 0	X = 0.00	
Independent Claims	-3** = 0	X = 0.00	
Multiple Dependent		= 0.00	
Fee Code (\$)	Fee Code (\$)	Fee Description	
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
Fee Paid			
SUBTOTAL (1) (\$)	\$0.00		
Fee Description			
Extra Claims	Fee from below	Fee Paid	
Total Claims	-20** = 0	X = 0.00	
Independent Claims	-3** = 0	X = 0.00	
Multiple Dependent		= 0.00	
Fee Description			
Fee Code (\$)	Fee Code (\$)	Fee Description	
1806 180	1806 180	Submission of Information Disclosure Statement	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			
SUBTOTAL (2) (\$)	\$0.00		
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)	\$180.00		

\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

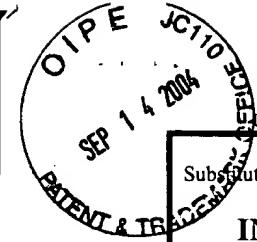
## Complete (if applicable)

Name (Print/Type)	CRAIG B. BAILEY	Registration No. (Attorney/Agent)	28,786	Telephone	310-824-5555
Signature	Craig B. Bailey		Date	September 10, 2004	

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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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